

FORM NL-28-STATEMENT OF INVESTMENT ASSETS AND STATEMENT OF ACCRETION OF ASSETS

PART - A

Name of the Insurer: Universal Sompo General Insurance Company Limited

Registration Number: 134

Statement as on: 30th September 2021

Statement of Investment Assets (General Insurer including an insurer carrying on business of re-insurance or health insurance)

(Business within India)

(Rs. in Lakhs)

Periodicity of Submission: Quarterly

Section I			
No	PARTICULARS	SCH ++	AMOUNT
1	Investments (Shareholders)	8	84,130.93
	Investments (Policyholders)	8A	2,73,598.39
2	Loans	9	-
3	Fixed Assets	10	2,490.51
4	Deferred Tax Assets		311.21
5	Current Assets		
	a. Cash & Bank Balance	11	3,336.96
	b. Advances & Other Assets	12	1,18,023.35
6	Current Liabilities		-
	a. Current Liabilities	13	2,95,097.08
	b. Provisions	14	79,582.01
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		
	Application of Funds as per Balance Sheet (A)		1,07,212.27
	Less: Other Assets	SCH ++	Amount
1	Loans (if any)	9	0.00
2	Fixed Assets (if any)	10	2490.51
3	Deferred Tax Assets		311.21
4	Cash & Bank Balance (if any)	11	3336.96
5	Advances & Other Assets (if any)	12	118023.35
6	Current Liabilities	13	295097.08
7	Provisions	14	79582.01
8	Misc. Exp not Written Off	15	0.00
9	Investments held outside India		
10	Debit Balance of P&L A/c		
	Total (B)		-250517.06
	'Investment Assets'	(A-B)	3,57,729.32

Note : Provision figures appearing in Investments are net of provision made for IL & FS Securities and Sintex Ltd for Rs 904.46 Lakhs in Shareholders Fund & Rs. 2941.35 Lakhs in Policyholders Fund

Section II										
No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value (h)
			Balance	FRSM ⁺						
			(a)	(b)						
1	Central Govt. Securities	Not less than 20%	-	24,219.85	78,764.29	1,02,984.14	28.82	-	1,02,984.14	104247.8512
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not less than 30%	-	34,646.84	1,12,673.41	1,47,320.25	41.23	-	1,47,320.25	150046.871
3	Investment subject to Exposure Norms		-	49,394.24	1,60,632.77	2,10,027.00	58.77	682.08	2,10,709.08	2,14,620.97
	a. Housing / Infra & Loans to SG for Housing and FFF									
	1. Approved Investments	Not less than 15%	-	33064.65386	107528.0651	1,40,592.72	39.34	60.44	1,40,653.16	1,44,079.54
	2. Other Investments		-	0	0	-	-	-	-	0.00
	b. Approved Investments	Not exceeding 55%	-	15894.41905	51689.5212	67,583.94	18.91	523.19	68,107.13	69,400.68
	c. Other Investments		-	435.1647388	1415.179562	1,850.34	0.52	98.44	1,948.79	1,140.76
	Investment Assets	100%		84,041.07	2,73,306.17	3,57,347.25	100.00	682.08	3,58,029.32	3,64,667.84

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Note:

- (+) FRSM refers 'Funds representing Solvency Margin'
- Other Investments' are as permitted under 27A(2)
- Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
- Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
- SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations
- Investment Regulations, as amended from time to time, to be referred

PART - B

Name of the Insurer: Universal Sompo General Insurance Company Limited

Registration Number: 134

Statement as on: 30th September 2021

Statement of Accretion of Assets

(Business within India)

Periodicity of Submission : Quarterly

(Rs. Lakhs)

No	Category of Investments	COI	Opening Balance	% to Opening Balance	Net Accretion for the Qtr.	% to Total Accrual	TOTAL	% to Total
			(A)		(B)		(A+B)	
1	Central Govt. Securities		927.30	27.21	102.54	61.98	1,029.84	28.82
2	Central Govt Sec, State Govt Sec or Other Approved Securities (Incl		1,408.79	41.34	64.41	38.93	1,473.20	41.23
3	Investment subject to Exposure Norms							
	a. Housing & Loans to SG for Housing and FFE							
	1. Approved Investments		408.32	11.98	70.69	42.73	479.01	13.40
	2. Other Investments							
	b. Infrastructure Investments							
	1. Approved Investments		863.71	25.34	60.91	36.81	924.62	25.87
	2. Other Investments							
	c. Approved Investments		707.64	20.76	-29.49	-17.83	678.14	18.98
	d. Other Investments (not exceeding 15%)		19.57	0.57	-1.06	-0.64	18.50	0.52
	Total		3408	100	165	100	3573	100

Note:

1. Total (A+B), fund wise should tally with figures shown in Form 3B (Part A)
2. Investment Regulations, as amended from time to time, to be referred